

The Threshold Energy of Low Temperature Langevin Dynamics for Pure Spherical Spin Glasses

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LU-UMN Joint Probability Seminar
November 10, 2023

arxiv:2305.07956

And several collaborations with Brice Huang (MIT)

- 1 Introduction and background
 - Spherical spin glasses and Langevin dynamics
 - Cugliandolo–Kurchan equations
 - Bounding flows
 - The threshold E_∞
- 2 Main result: threshold energy of low temperature dynamics
 - Upper bound: Lipschitz approximation and Branching OGP
 - Lower bound: climbing near saddles
- 3 Epilogue: topologically trivial spin glasses

Definition of Pure Spherical Spin Glasses

Pure p -spin Hamiltonian: random function $H_N : \mathbb{R}^N \rightarrow \mathbb{R}$ given by

$$H_N(\sigma) = N^{-(p-1)/2} \sum_{1 \leq i_1, i_2, \dots, i_p \leq N} J_{i_1, \dots, i_p} \sigma_{i_1} \dots \sigma_{i_p}$$

with i.i.d. Gaussian coefficients $J_{i_1, \dots, i_p} \sim \mathcal{N}(0, 1)$.

Inputs σ will be on the sphere: $\mathcal{S}_N = \{\sigma \in \mathbb{R}^N : \sum_{i=1}^N \sigma_i^2 = N\}$.

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Quick facts:

- 1 Rotationally invariant Gaussian process: $\mathbb{E}H_N(\sigma)H_N(\rho) = N \left(\frac{\langle \sigma, \rho \rangle}{N} \right)^p$.
- 2 Scaling: $\max_{\sigma \in \mathcal{S}_N} |H_N(\sigma)| \asymp N$, $\|\nabla H_N(\sigma)\| \asymp \sqrt{N}$, $\|\nabla^2 H_N(\sigma)\|_{\text{op}} \asymp 1$.

Langevin dynamics on \mathcal{S}_N :

$$d\mathbf{x}_t = \left(\beta \nabla_{\text{sp}} H_N(\mathbf{x}_t) - \frac{(N-1)\mathbf{x}_t}{2N} \right) dt + P_{\mathbf{x}_t}^\perp d\mathbf{B}_t.$$

Invariant for Gibbs measure $\mu_\beta(d\sigma) = e^{\beta H_N(\sigma)} d\sigma / Z_N(\beta)$. Much is known about μ_β even at low temperature:

- Ground state energy is $\approx \sqrt{\log p}$.
- Free energy is 1-RSB [Talagrand 06, Chen 17, ...]
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$t_{\text{mix}}(\beta) \geq e^{\Omega(N)}$ for large β , so μ_β will not be realistically accessed [Ben Arous-Jagannath 18].

Study of $O(1)$ -time dynamics since [Sompolinsky-Zippelius 82] (SK model).

- ① Exact description via Cugliandolo-Kurchan equations [Crisanti-Horner-Sommers 93].
 - [Ben Arous-Dembo-Guionnet 06]: **Yes** (for soft spherical spins)
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- ④ Large time threshold energy $E_\infty(p) \equiv 2\sqrt{\frac{p-1}{p}}$ as $\beta \rightarrow \infty$ [Biroli 99].
 - [Ben Arous-Gheissari-Jagannath 18]: **Explicit bounds** via differential inequalities.

Cugliandolo-Kurchan Equations

Closed system of equations as $N \rightarrow \infty$ for:

$$C(s, t) \equiv \langle \mathbf{x}_s, \mathbf{x}_t \rangle / N,$$

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Tells you everything in principle, but hard to work with. For $s \geq t \geq 0$:

$$\partial_s R(s, t) = -\mu(s)R(s, t) + \beta^2 p(p-1) \int_t^s R(u, t)R(s, u)C(s, u)^{p-2} du,$$

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$$+ \beta^2 p \int_0^t C(s, u)^{p-1} R(t, u) du;$$

$$\mu(s) \equiv \frac{1}{2} + \beta^2 p^2 \int_0^s C(s, u)^{p-1} R(s, u) du.$$

Bounding Flows Approach

Rigorously understanding the Cugliandolo-Kurchan equations is difficult at low temperature. Long-time asymptotic solutions are not unique.

[Ben Arous-Gheissari-Jagannath 18]: **bounding flows** method of differential inequalities.

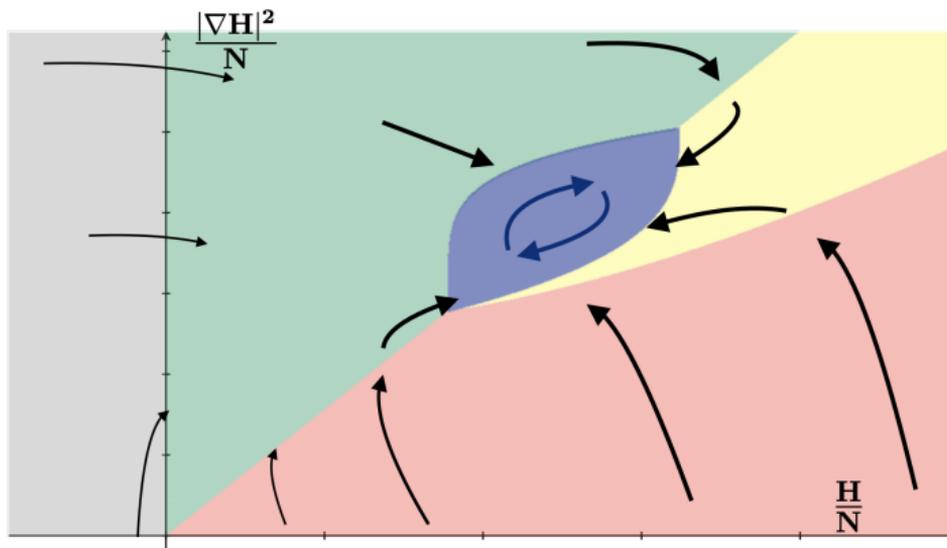
- Shows $d(H_N(\mathbf{x}_t), \|\nabla H_N(\mathbf{x}_t)\|^2) \in \Gamma(H_N(\mathbf{x}_t), \|\nabla H_N(\mathbf{x}_t)\|^2) \subseteq \mathbb{R}^2$.
- Quantitative lower bounds on $H_N(\mathbf{x}_T)$, even for disorder dependent $\mathbf{x}_0 \in \mathcal{S}_N$.

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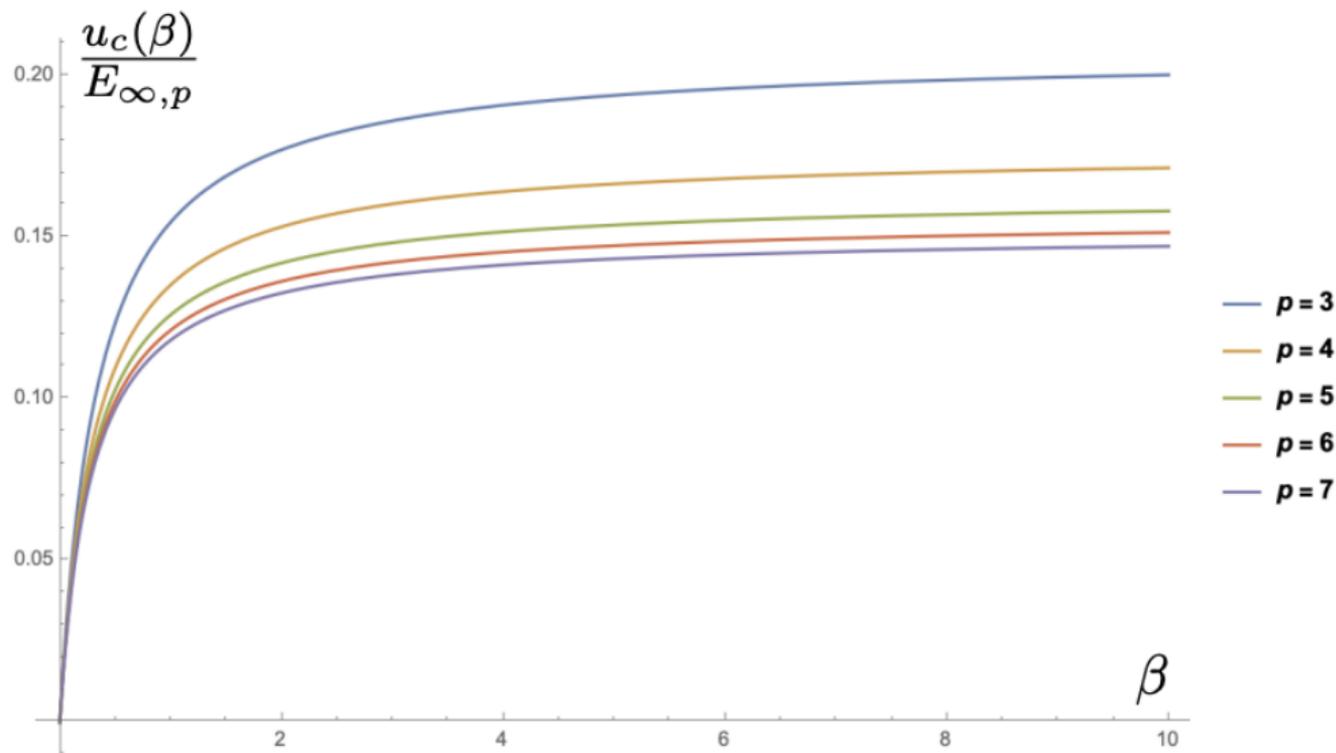
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Explicit bounds from [Ben Arous-Gheissari-Jagannath 18]:



[Biroli 99]: $E_\infty = 2\sqrt{\frac{p-1}{p}}$ should be the threshold energy in the limit $\beta \rightarrow \infty$.

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Explanation:

- For $\mathbf{x} \in \mathcal{S}_N$, the spherical Hessian $\nabla_{\text{sp}}^2 H_N(\mathbf{x})$ is a **shifted GOE**:

$$\nabla_{\text{sp}}^2 H_N(\mathbf{x}) \stackrel{d}{=} \sqrt{p(p-1)} \text{GOE}(N-1) - p \cdot \frac{H_N(\mathbf{x})}{N}.$$

- The prediction above says $\lambda_{\max}(\nabla_{\text{sp}}^2 H_N(\mathbf{x}_T)) \approx 0$.
- Since $\lambda_{\max}(\text{GOE}(N-1)) \approx 2$, we should also predict energy E_∞ , i.e.

$$\lim_{\beta, T \rightarrow \infty} \text{p-lim}_{N \rightarrow \infty} H_N(\mathbf{x}_T)/N = E_\infty.$$

Theorem (S 23, Upper Bound)

For any β there is $\delta > 0$ such that for any T , if $\mathbf{x}_0 \in \mathcal{S}_N$ is independent of H_N :

$$\mathbb{P} \left[\sup_{t \in [0, T]} H_N(\mathbf{x}_t)/N \leq E_\infty - \delta \right] \geq 1 - e^{-cN}.$$

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For large constant times $t \in [T_0, T]$ and large β , the energy stays uniformly just below E_∞ :

$$H_N(\mathbf{x}_t)/N \in [E_\infty - \eta, E_\infty - \delta].$$

Once energy settles, the gradient stays small:

$$\lim_{N \rightarrow \infty} \mathbb{P} \left[\sup_{t \in [T_0, T]} \|\nabla_{\text{sp}} H_N(\mathbf{x}_t)\| / \sqrt{N} \leq \delta \right] = 1, \quad \forall \beta \geq \beta_0(\delta), \quad T \geq T_0(\delta).$$

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Mixed p -spin models with covariance $\xi(t) = \sum_{p \geq 2} \gamma_p^2 t^p$:

- Upper bound: $\text{ALG}(\xi) = \int_0^1 \sqrt{\xi''(t)} dt$.
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Initializing via high-temperature dynamics changes neither bound.

- For pure models, threshold equals E_∞ regardless of early dynamics.
- [Folena–Franz–Ricci–Tersenghi 21]: this does change the eventual energy for mixed models.

Upper bound mostly follows by consideration of **Lipschitz** optimization algorithms.

Definition

An L -**Lipschitz algorithm** is an $\mathcal{A}_N : \mathbb{R}^{N^p} \times \Omega \rightarrow \mathcal{S}_N$ which is L -Lipschitz in the 1st input.

For this talk, think $\mathcal{A} = \mathcal{A}(\{J_{i_1, \dots, i_p}\}, \mathbf{B}_{[0, T]})$.

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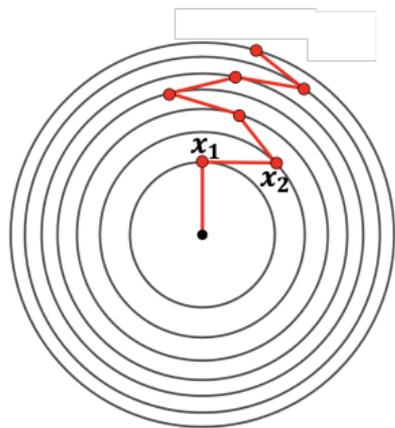
Theorem (Huang-S 21 & 23)

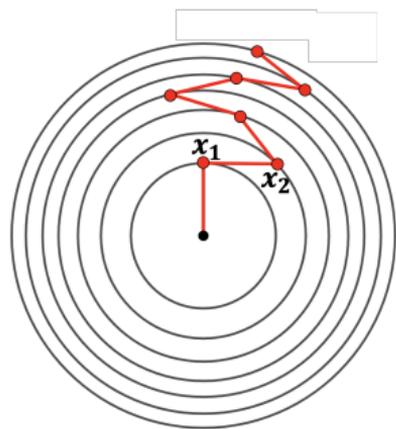
Fix any $L, \eta > 0$. If \mathcal{A}_N is an L -Lipschitz algorithm, then for N large enough,

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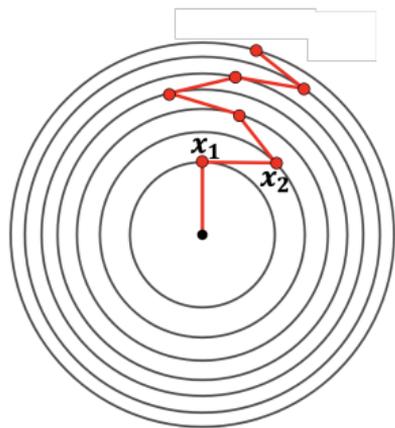
Algorithms with dimension-free Lipschitz constant cannot access energies above $E_\infty + o_N(1)$.

Aside on Optimal Optimization Algorithms



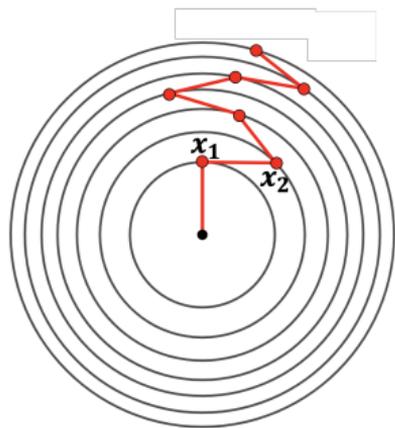


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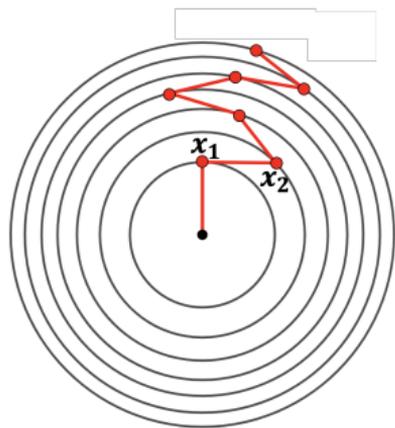


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- 2 Explore with small, orthogonal steps:

$$x_{t+1} = x_t \pm \sqrt{\delta N} v_t, \quad 0 \leq t \leq \delta^{-1}.$$

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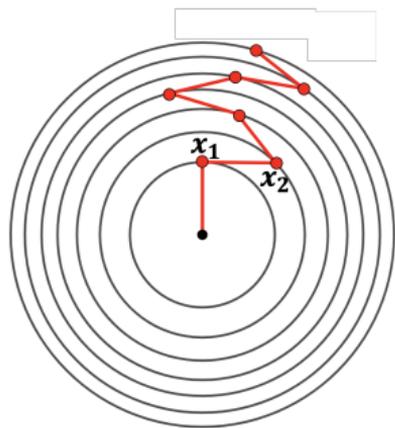
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- The tangential Hessian has law $\sqrt{p(p-1)q^{p-2}} \times GOE_{N-1}$ at radius \sqrt{qN} . Expect:

$$\lambda_1 \left(\nabla^2 H_N(x_t)|_{x_t^\perp} \right) \geq (2 - \delta) \sqrt{p(p-1)(t\delta)^p}.$$



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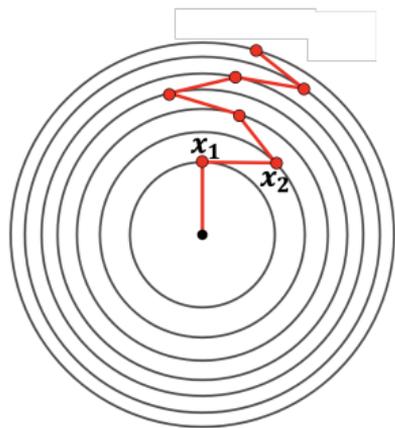
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- By choosing the top 2 eigenvectors, get a **continuously branching tree of outputs**.
Optimality: the best such trees are at E_∞ , and this obstructs all Lipschitz algorithms.

Upper Bound via Hardness for Lipschitz Algorithms

Upper bound uses hardness for Lipschitz optimization algorithms.

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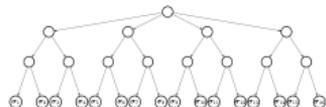
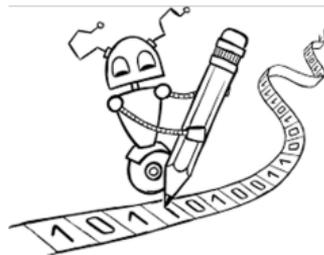
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(Informally: Lipschitz algorithms cannot access energies above $E_\infty + o_N(1)$.)

Proof: branching overlap gap property.
Run \mathcal{A}_N on correlated copies of H_N .
Extends OGP from [Gamarnik-Sudan 14,...].



Upper Bound via Hardness for Lipschitz Algorithms

Remains to approximate x_T by an $L(\beta, T)$ -Lipschitz function of $(J_{i_1, \dots, i_p})_{i_k=1}^N$ for each $\mathbf{B}_{[0, T]}$.

Previously known for soft spherical Langevin dynamics [Ben Arous-Dembo-Guionnet 06].

We approximate the hard dynamics pathwise by soft dynamics, which suffices.

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Improving the upper bound from $E_\infty + o_N(1)$ to $E_\infty - o_\beta(1)$:

- [Ben Arous-Gheissari-Jagannath 18]: $\|\nabla_{\text{sp}} H_N(\mathbf{x}_t)\| \geq \delta_1(\beta)\sqrt{N}$ for all times t .
- Hence a final noise-less gradient step slightly improves the energy.
- This modified algorithm is just as Lipschitz as before.

Definition

$\mathbf{x} \in \mathcal{S}_N$ is an ε -approximate local maximum if both:

① $\|\nabla_{\text{sp}} H_N(\mathbf{x})\| \leq \varepsilon\sqrt{N}$.

② $\lambda_{\varepsilon N}(\nabla_{\text{sp}}^2 H_N(\mathbf{x})) \leq \varepsilon$.

If ① holds but ② doesn't, then \mathbf{x} is an ε -approximate saddle.

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Proposition (Specific to Pure p -Spin Models)

With probability $1 - e^{-cN}$, all ε -approximate local maxima satisfy $H_N(\mathbf{x})/N \geq E_\infty - o_\varepsilon(1)$.

Lower Bound: Reaching Approximate Local Maxima

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Theorem (Only Uses 3rd-Order Smoothness of H_N ; cf [ZLC 17, JNGKJ 21])

Suppose all ε -approximate local maxima satisfy $H_N(\mathbf{x})/N \geq E_*(\varepsilon)$.

Then for large T_0, β depending on ε , and disorder-dependent $\mathbf{x}_0 \in \mathcal{S}_N$:

$$\mathbb{P} \left[\inf_{t \in [T_0, T_0 + e^{cN}]} H_N(\mathbf{x}_t)/N \geq E_*(\varepsilon) - o_\varepsilon(1) \right] \geq 1 - e^{-cN}.$$

Energy Gain While Below $E_*(\varepsilon)$

We directly show $H_N(\mathbf{x}_t)$ increases while $H_N(\mathbf{x}_t)/N \leq E_*(\varepsilon)$. This is formalized with a closely spaced sequence of stopping times

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Definition of $E_*(\varepsilon)$ leads to three cases:

- 1 Large energy: $H_N(\mathbf{x}_\tau)/N \geq E_*(\varepsilon)$.
- 2 Large gradient: $\|\nabla_{\text{sp}} H_N(\mathbf{x}_\tau)\| \geq C\beta^{-1/2}\sqrt{N}$.
- 3 Approximate saddle: $\|\nabla_{\text{sp}} H_N(\mathbf{x}_\tau)\| \leq C\beta^{-1/2}\sqrt{N}$ **and** $\lambda_{\varepsilon N}(\nabla_{\text{sp}}^2 H_N(\mathbf{x}_\tau)) \geq \varepsilon$.

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If \mathbf{x}_τ is in Case 1, simply stop once the energy drops below $E_*(\varepsilon)$.

In Cases 2, 3, we will show $H_N(\mathbf{x}_t)$ increases.

Lemma (Large Gradient Case)

If $\|\nabla_{\text{sp}} H_N(\mathbf{x}_\tau)\| \geq C\beta^{-1/2}\sqrt{N}$ then with probability $1 - e^{-cN}$:

$$H_N(\mathbf{x}_{\tau+\beta^{-10}}) - H_N(\mathbf{x}_\tau) \geq \beta^{-10} N.$$

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Proof: large gradient overwhelms the Itô term.

$$dH_N(\mathbf{x}_t) = \underbrace{\left(\beta \|\nabla_{\text{sp}} H_N(\mathbf{x}_t)\|^2 \pm O(N) \right)}_{\geq CN \text{ on } \tau \leq t \leq \tau + \beta^{-10}} dt + \underbrace{\beta \|\nabla_{\text{sp}} H_N(\mathbf{x}_t)\|}_{O(\sqrt{N})} dB_t.$$

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Noise level is so small that differential inequalities remain true with probability $1 - e^{-cN}$.
(Similarly, bound growth of $\|\mathbf{x}_t - \mathbf{x}_\tau\|^2$ with another differential inequality.)

Gaining Energy Near Approximate Saddles

Remains to show the following (with $\beta \gg \bar{C}(\varepsilon) \gg 1/\varepsilon \gg C \asymp 1$).

Lemma

If $\|\nabla_{\text{sp}} H_N(\mathbf{x}_\tau)\| \leq C\beta^{-1/2}\sqrt{N}$ and $\lambda_{\varepsilon N}(\nabla_{\text{sp}}^2 H_N(\mathbf{x}_\tau)) \geq \varepsilon$:

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Then $\mathbf{x}_{\tau+t}$ would be a multi-dimensional OU process. Easy to analyze!

- Positive eigendirections: exponentially fast energy gain.
- Negative eigendirections: trapped or diffusive movement.
- Overall energy gain of $\Omega(N\beta^{-1})$ after time $\bar{C}(\varepsilon)\beta^{-1}$.
- (But, energy can initially drop. This is a problem for differential inequalities.)

Ornstein–Uhlenbeck Approximation via Taylor Expansion

In general: map \mathcal{S}_N to \mathbb{R}^{N-1} and **Taylor expand the SDE coefficients** near x_τ .

- A suitable approximation exactly yields a multi-dimensional OU process.
- Suffices to carefully estimate the approximation error.

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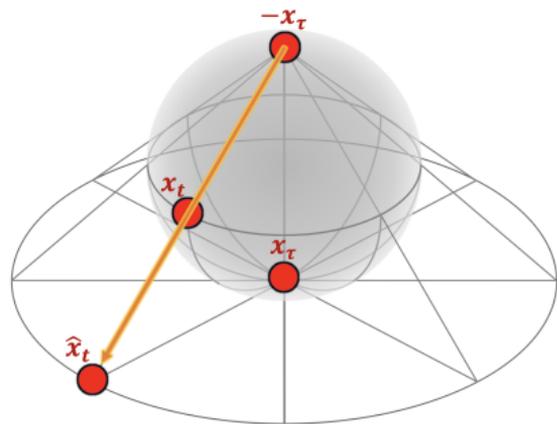
Use stereographic projection map Γ_{x_τ} centered at $-x_\tau$:

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$$\Gamma_{x_\tau}(x_\tau) = \vec{0},$$

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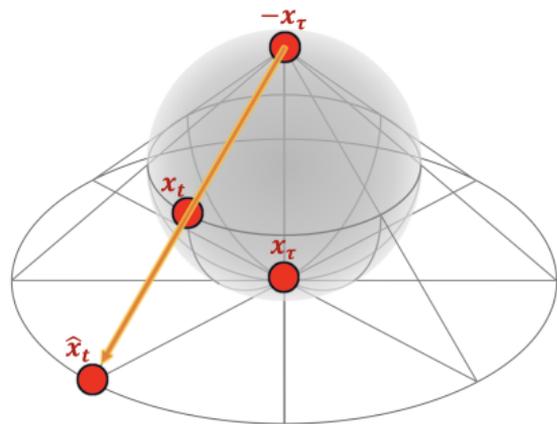
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Projected dynamics in \mathbb{R}^{N-1} and quadratic approximation:

$$d\hat{x}_t = \vec{b}_t(\hat{x}_t) dt + \sigma_t d\mathbf{W}_t,$$

$$dx_t^{(Q)} = \beta \nabla H_N^{(Q)}(x_t^{(Q)}) dt + d\mathbf{W}_t.$$

Required Estimates for Ornstein–Uhlenbeck Approximation

We show $\mathbf{x}_t^{(Q)} \approx \widehat{\mathbf{x}}_t$ via more scalar approximate differential inequalities.

- Movement is small on $O(1/\beta)$ time-scales since $\|\nabla_{\text{sp}} H_N(\mathbf{x}_\tau)\| \leq C\beta^{-1/2}\sqrt{N}$:

$$\begin{aligned}\|\widehat{\mathbf{x}}_{\tau+\bar{C}\beta^{-1}} - \widehat{\mathbf{x}}_\tau\| &\leq O_{\bar{C}}(\beta^{-1/2}\sqrt{N}), \\ \implies \|\nabla \widehat{H}_N(\widehat{\mathbf{x}}_{\tau+\bar{C}\beta^{-1}})\| &\leq O_{\bar{C}}(\beta^{-1/2}\sqrt{N}).\end{aligned}\tag{1}$$

- Since $H_N^{(Q)}$ is a 2nd order Taylor approximation for \widehat{H}_N , (1) gives:

$$|H_N^{(Q)}(\mathbf{x}_{\tau+\bar{C}\beta^{-1}}^{(Q)}) - \widehat{H}_N(\mathbf{x}_{\tau+\bar{C}\beta^{-1}}^{(Q)})| \leq O_{\bar{C}}(\beta^{-3/2}N).\tag{2}$$

- Same-time approximation $\mathbf{x}_t^{(Q)} \approx \widehat{\mathbf{x}}_t$ turns out to be better since dB_t cancels:

$$\|\mathbf{x}_{\tau+\bar{C}\beta^{-1}}^{(Q)} - \widehat{\mathbf{x}}_{\tau+\bar{C}\beta^{-1}}\| \leq O_{\bar{C}}(\beta^{-1}\sqrt{N}).$$

- Combining the previous two,

$$|\widehat{H}_N(\widehat{\mathbf{x}}_{\tau+\bar{C}\beta^{-1}}) - \widehat{H}_N(\mathbf{x}_{\tau+\bar{C}\beta^{-1}}^{(Q)})| \leq O_{\bar{C}}(\beta^{-3/2}N).\tag{3}$$

- Energy gain of $H_N^{(Q)}(\mathbf{x}_t^{(Q)})$ is $\Omega(\beta^{-1}N)$ by explicit OU computation. Combining with (2), (3):

$$H_N(\mathbf{x}_{\tau+\bar{C}\beta^{-1}}) - H_N(\mathbf{x}_\tau) = \widehat{H}_N(\widehat{\mathbf{x}}_{\tau+\bar{C}\beta^{-1}}) - \widehat{H}_N(\widehat{\mathbf{x}}_\tau) \geq \Omega(\beta^{-1}N).$$

- 1 Introduction and background
 - Spherical spin glasses and Langevin dynamics
 - Cugliandolo–Kurchan equations
 - Bounding flows
 - The threshold E_∞
- 2 Main result: threshold energy of low temperature dynamics
 - Upper bound: Lipschitz approximation and Branching OGP
 - Lower bound: climbing near saddles
- 3 Epilogue: topologically trivial spin glasses

Topological Trivialization under Strong External Field

Consider a spherical spin glass with external field:

$$H_N(\sigma) = N^{-(p-1)/2} \sum_{1 \leq i_1, i_2, \dots, i_p \leq N} J_{i_1, \dots, i_p} \sigma_{i_1} \cdots \sigma_{i_p} + \langle \vec{h}, \sigma \rangle.$$

Here $\vec{h} \in \mathbb{R}^N$ is deterministic; only $h = \|\vec{h}\|/\sqrt{N}$ matters by symmetry.

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Let $\text{Crt}(H_N) \subseteq \mathcal{S}_N$ be the discrete set of critical points for H_N . The **Kac-Rice formula** enables computations such as the following.

Theorem ([Fyodorov 15, BCNS 22])

$$\mathbb{E}|\text{Crt}(H_N)| \approx \begin{cases} e^{cN}, & h < \sqrt{p(p-2)}, \\ 2, & h > \sqrt{p(p-2)}. \end{cases}$$

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The latter case is **topological trivialization**: all critical points are global extrema. Hope:

- 1 Fast convergence of low temperature Langevin to the global optimum.
- 2 Functional inequalities with dimension-free parameters.

Kac–Rice estimates can be made “robust” to approximate critical points, yielding direct energy lower bounds for Langevin dynamics.

Theorem (Huang-S 23; Informal)

Suppose a spherical spin glass model has $O(e^{-\Omega(N)})$ critical points (resp. local maxima) on average with energy in $[A, B]$. Then with probability $1 - e^{-cN}$, it has no δ -approximate critical points (resp. local maxima) with energy in $[A + \delta, B - \delta]$.

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Corollary (Huang-S 23)

In the topologically trivial phase, all δ -approximate critical points are $O(\delta)$ from the global extrema. Low-temperature Langevin rapidly reaches $o_\beta(\sqrt{N})$ of the global maximum.

Theorem ([Bakry-Barthe-Cattiaux-Guillin 08]; informal)

Given a diffusion on a compact manifold M , suppose the restriction to $S \subseteq M$ has Poincare constant C_1 , and the expected hitting time of S is uniformly at most C_2 . Then the Poincare constant on M is at most $C(C_1, C_2)$.

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For us, S is a locally concave neighborhood of the global maximum. Bakry-Emery theory bounds C_1 . Lack of approximate critical points bounds C_2 .

Corollary

In the topologically trivial phase, for β sufficiently small, μ_β has Poincare constant at most $C(p, h)$ with probability $1 - e^{-cN}$.

Multi-Species Spherical Spin Glasses

A sphere is a geometrically simple manifold. On most other manifolds, **generic smooth functions must have saddles**. Multi-species spin glasses are defined on a **product** of spheres $\mathbb{S}^{N_1} \times \mathbb{S}^{N_2} \times \dots \times \mathbb{S}^{N_r}$, e.g.

$$H_N(\sigma, \rho) = \gamma_A \sum_{\substack{1 \leq i \leq N_1 \\ 1 \leq j \leq N_2}} g_{i,j} \sigma_i \rho_j + \gamma_B \sum_{\substack{1 \leq i_1 \leq N_1 \\ 1 \leq j_1, j_2, j_3 \leq N_2}} g_{i_1, j_1, j_2, j_3} \sigma_{i_1} \rho_{j_1} \rho_{j_2} \rho_{j_3} + \langle \vec{h}_A, \sigma \rangle + \langle \vec{h}_B, \rho \rangle.$$

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Morse theory says $|\text{Crt}(H_N)| \geq 2^r$ almost surely. Modulo this, the picture is the same:

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No spurious **approximate local maxima**, so the main part of the talk applies!

Corollary (Huang-S 23)

In the topologically trivial phase of multi-species models, for β sufficiently small, μ_β has Poincare constant at most $C(p, h)$ with probability $1 - e^{-cN}$.

Conclusion

Pure p -spin Hamiltonian:

$$H_N(\sigma) = N^{-(p-1)/2} \sum_{1 \leq i_1, i_2, \dots, i_p \leq N} J_{i_1, \dots, i_p} \sigma_{i_1} \cdots \sigma_{i_p}$$

Main result: for spherical Langevin dynamics,

$$\lim_{T, \beta \rightarrow \infty} \text{p-lim}_{N \rightarrow \infty} H_N(\mathbf{x}_T)/N = E_\infty(p) \equiv 2\sqrt{\frac{p-1}{p}}.$$

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Upper bound holds for Lipschitz algorithms via branching overlap gap property.

Lower bound: dynamics reach approximate local maxima in general smooth landscapes.

- Holds for disorder-dependent $x_0 \in \mathcal{S}_N$, and uniformly in $t \in [T_0, T_0 + e^{cN}]$.
- Consequences for topologically trivial spin glasses.

Open: prove gradient flow reaches E_∞ ? Monotonicity-in-time of asymptotic energy for fixed β , or just existence of $T \rightarrow \infty$ limiting energy?